

FACTS

WHAT DOES D'Arcangelo Financial Advisors LLC
DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
What?	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ■ Social Security number and Date of Birth and Contact Details ■ Account number(s) and Account Balance and Transaction History ■ Income and Assets and Liabilities 	
How?	All financial companies need to share clients' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their clients' personal information; the reasons D'Arcangelo Financial Advisors LLC chooses to share; and whether you can limit this sharing.	
Reasons we can share your personal information	Does D'Arcangelo Financial share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	No	We don't share
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	We don't share
For nonaffiliates to market to you	No	We don't share
To limit our sharing	<ul style="list-style-type: none"> ■ Call 845-473-8261 —our menu will prompt you through your choice(s) or ■ Visit us online: www.dfanys.com <p>Please note:</p> <p>If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> <p>However, you can contact us at any time to limit our sharing.</p>	
Questions?	Call 845-473-8261 or go to www.dfanys.com	

Who we are

Who is providing this notice?

D'Arcangelo Financial Advisors LLC

What we do

How does D'Arcangelo Financial protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does D'Arcangelo Financial collect my personal information?

We collect your personal information, for example, when you

- Meet with an advisor or Seek financial or investment advice
- Open an account(s) or Enter data into our client portal
- Call to request account-related information or to transact

Request to update contact details on file

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

What happens when I limit sharing for an account I hold jointly with someone else?

We will ask you both to verify this and provide written instructions.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- N/A

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *As applicable, broker-dealers, accounting firms, life insurance agents & insurance companies, law firms, retirement plan third party administrators, & financial planning software tools*

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *D'Arcangelo Financial Advisors LLC does not jointly market.*

Other important information

We will share your personal information with broker-dealers for our everyday business purposes (ie Schwab, Fidelity). We may share account, tax or personal information with other nonaffiliates, following written approval by you. Verbal approval may also be requested.